

GOVERNANCE AND AUDIT COMMITTEE

Decision Notice – Remote Meeting, 12th December, 2022.

The Committee agenda is available [here](#).

The Meeting recording is available [here](#).

Present: G. Chapman (Chair and Lay Member); Councillors M.J. Hooper, J.M. Norman, J. Protheroe and N.J. Wood; and M. Evans (Lay Member).

Also present: Councillor E. Williams (Cabinet Member for Social Care and Health).

AGENDA ITEM 1. APOLOGIES FOR ABSENCE –

These were received from N. Ireland (Vice-Chair and Lay Member); Councillors P. Drake and E. Goodjohn.

AGENDA ITEM 2. MINUTES –

RESOLVED – T H A T the minutes of the meeting held on 24th October, 2022 be approved as a correct record.

AGENDA ITEM 3. DECLARATIONS OF INTEREST –

No declarations of interest were received.

AGENDA ITEM 4. CORPORATE RISK REGISTER QRT 2 UPDATE (CX) –

RESOLVED –

(1) T H A T the Quarter 2 position of corporate risks (April 2022-September2022) as outlined in the Risk Summary Report (Annex A) be noted.

(2) T H A T it be recommend to Cabinet that the reframing of the Reshaping risk to a financial fragility risk on the Register be agreed.

(3) T H A T any other comments be referred to Cabinet for their consideration and endorsement at the meeting for Cabinet to consider the Corporate Risk Register for Quarter 2.

(4) T H A T for future Risk Register reports, the Governance and Audit Committee receives a shortened update based on the summary at Annex A, with Annex B available to Members via the Council's website.

Reasons for decisions

- (1&2) Having regard to the contents of the report and discussions at the meeting.
- (3) To ensure Cabinet receives the comments of the Governance and Audit Committee to consider the Quarter 2 risk position.
- (4) So that the Committee receives a summary update based on exceptional reporting.

AGENDA ITEM 5. WHISTLEBLOWING: STAFF SURVEY RESULTS AND ANNUAL REPORT (MO/HLDS) –

RESOLVED –

- (1) T H A T the contents of the report be noted.
- (2) T H A T the Governance and Audit Committee continue to receive annual reports in relation to promotion of the Policy, whistleblowing incidents reported and the outcome of the planned 2023/24 Survey.
- (3) T H A T the proposed areas for revision of the existing Policy as detailed in paragraph 2.22 of the report be noted and endorsed and the same referred to Cabinet for consideration as part of the annual review of the Policy.
- (4) T H A T the Chief Executive be requested to ensure that whistleblowing is placed on all Directorate agenda meetings, Head of Service meetings and Team meetings.

Reasons for decisions

- (1&2) Having regard to the contents of the report and discussions at the meeting.
- (3) For consideration as part of the annual review of the Policy undertaken by the Head of Human Resources and Organisational Development.
- (4) To maintain and increase awareness of the Council's Whistleblowing Policy.

AGENDA ITEM 6. AUDIT WALES: VALE OF GLAMORGAN COUNCIL ASSURANCE AND RISK ASSESSMENT (ARA) REVIEW 2021/22 AND THE AUDITOR GENERAL FOR WALES NATIONAL STUDY REPORT ON PUBLIC SECTOR CARBON READINESS FOR NET ZERO BY 2030 (DCR) –

RESOLVED –

- (1) T H A T the contents of the Vale of Glamorgan Council Assurance and Risk Assessment Review 2021/22 be noted, and the report referred Corporate

Performance and Resources Scrutiny Committee with any recommendations / comments of that Scrutiny Committee referred to Cabinet thereafter.

(2) T H A T the contents of the appended Public Sector Readiness for Net Zero Carbon by 2030 National Report and the Council's response be noted, and the report referred to the Environment and Regeneration Scrutiny Committee and Corporate Performance and Resources Scrutiny Committee with any recommendations / comments referred to Cabinet thereafter for their consideration and endorsement of the proposed actions to address the recommendation and the National Study.

Reason for decisions

(1&2) Having regard to the contents of the report and discussions at the meeting

AGENDA ITEM 7. GOVERNANCE AND AUDIT COMMITTEE SELF-ASSESSMENT OF KNOWLEDGE AND SKILLS (HRIAS) –

RESOLVED – T H A T the self-assessment questionnaire feedback information be noted and the learning and development areas be raised by Committee members when needed.

Reason for decision

To support the ongoing development and effectiveness of the Governance and Audit Committee in line with its terms of reference.

AGENDA ITEM 8. UPDATED FORWARD WORK PROGRAMME (HRIAS) –

RESOLVED –

(1) T H A T the Forward Work Programme be noted.

(2) T H A T the schedule of items for the next meeting, 27th February 2023, be endorsed.

Reason for decisions

(1&2) To ensure the Governance and Audit Committee is aware and informed of progress on the proposed Work Programme.

EXCLUSION OF PRESS AND PUBLIC –

RESOLVED – T H A T under Section 100A(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following item of business on the grounds that it involves the likely disclosure of exempt information as defined

in Part 4 of Schedule 12A (as amended) of the Act, the relevant paragraphs of the Schedule being referred to in brackets after the minute heading.

AGENDA ITEM NO. 10 AUDIT WALES NATIONAL STUDY: LEARNING FROM CYBER-ATTACKS (CX) (EXEMPT INFORMATION – PARAGRAPH 18) –

RESOLVED –

- (1) T H A T the approach to mitigating the corporate information security risk be noted.

- (2) T H A T an action plan be developed in order to respond to questions and challenges posed in exhibits 2 to 8 of the Audit Wales National Study: Learning from Cyber-Attacks report.

- (3) T H A T the Audit Wales National Study be referred to Cabinet for its consideration as a Part II item.

Reason for decision

(1,2 &3) Having regard to the contents of the report and discussions at the meeting.