

GOVERNANCE AND AUDIT COMMITTEE

Decision Notice – Remote Meeting, 24th October, 2022.

The Committee agenda is available [here](#).

The Meeting recording is available [here](#).

Present: G. Chapman (Chair and Lay Member); N. Ireland (Vice-Chair and Lay Member); Councillors: P. Drake, E. Goodjohn, M.J. Hooper, J.M. Norman, J. Protheroe and N.J. Wood; and M. Evans (Lay Member).

AGENDA ITEM 2. MINUTES –

RESOLVED – T H A T the minutes of the meeting held on 27th September, 2022 be approved as a correct record.

AGENDA ITEM 3. DECLARATIONS OF INTEREST –

No declarations were received.

AGENDA ITEM 4. DEPRIVATION OF LIBERTY SAFEGUARDS ANNUAL UPDATE (REF) –

RESOLVED – T H A T the contents of the report be noted, with the request that should the backlog of Deprivation of Liberty Safeguards (DoLS) assessment rise, a report be brought to the Governance and Audit Committee in a timely fashion to allow the Committee to consider the matter and the remedial actions proposed or undertaken.

Reason for decision

Having regard to the contents of the report and discussions at the meeting.

AGENDA ITEM 5. FINAL DRAFT VALE OF GLAMORGAN COUNCIL ANNUAL SELF-ASSESSMENT REPORT 2021/22 (REF) –

RESOLVED –

(1) T H A T the Final Draft Vale of Glamorgan Annual Self-Assessment be endorsed, subject to the typographical changes to Page 9.

(2) T H A T Cabinet be requested to accept the typographical changes to Page 9.

(3) T H A T Cabinet refer the report on to Full Council (9th November, 2022) for final approval.

Reasons for decisions

- (1) Having regard to the contents of the report and discussions at the meeting.
- (2) To request Cabinet to approve the typographical changes on page 9.
- (3) So that the Final Self-Assessment can be approved by Full Council at the meeting scheduled for 9th November, 2022.

AGENDA ITEM 6. AUDIT OF STATEMENT OF ACCOUNTS 2021/22
UPDATE (DCR) –

RESOLVED – T H A T the content of the report be noted.

Reason for decision

Having regard to the contents of the report and discussions at the meeting

AGENDA ITEM 7. REVIEW OF FRAUD AND GOVERNANCE AS PART OF
THE EXTERNAL AUDIT BY AUDIT WALES (DCR) –

RESOLVED – T H AT the findings of the review of Fraud and Governance as part of the external audit by Audit Wales be approved .

Reason for decision

Having regard to the findings of the review undertaken by Audit Wales.

AGENDA ITEM 8. Q2 UPDATE: AUDIT WALES WORK PROGRAMME
TIMETABLE 2022/23 – VALE OF GLAMORGAN COUNCIL (DCR) –

RESOLVED –

- (1) T H A T the contents of the report be noted.
- (2) T H A T the report be referred to Cabinet for its oversight.

Reasons for decisions

- (1) Having regard to the contents of the report and discussions at the meeting.
- (2) To enable Cabinet's oversight of the audit programme.

AGENDA ITEM 9. CORPORATE RISK REGISTER QUARTER 1 UPDATE (DCR) –

RESOLVED –

- (1) T H A T the Quarter 1 position of corporate risks (April 2022 – June 2022) as outlined in the Risk Summary Report (Annex A) be noted.
- (2) T H A T it be recommended to Cabinet for the removal of the Wales Community Care Information System (WCCIS) risk from the Register and request Cabinet to accept the inclusion of a Cost of Living risk and the reframing of the Environmental Sustainability risk to Project Zero on the Register.
- (3) T H A T the comments of the Committee be referred to Cabinet for their consideration and endorsement at the meeting when Cabinet will consider a report on the Corporate Risk Register for Quarter 1.

Reasons for decisions

- (1&2) Having regard to the Quarter 1 Risk Register update report and discussions at the meeting.
- (3) On the request for the comments of the Governance and Audit Committee to be considered when the Risk Register Quarter 1 position is presented to Cabinet.

AGENDA ITEM 10. PROGRESS AGAINST THE INTERNAL AUDIT RISK BASED PLAN 2022-23 (HRIAS) –

RESOLVED –

- (1) T H A T the contents of the report and the progress made against the 2022-23 Internal Audit Annual Risk Based Plan be noted.
- (2) T H A T future updates include additional summary information relating to the outcomes of completed audit reviews.

Reason for decisions

- (1&2) Having regard to the contents of the report and discussions at the meeting.

AGENDA ITEM 11. RECOMMENDATION MONITORING (HRIAS) –

RESOLVED –

- (1) T H A T the Governance and Audit Committee received an update report on a quarterly basis.

(2) T H A T future update reports include additional summary information relating to the outcomes and progress of recommendations in particular relating to any overdue recommendations.

Reason for decision

(1&2) Having regard to the contents of the report and discussions at the meeting.

AGENDA ITEM 12. UPDATED FORWARD WORK PROGRAMME (HRIAS) –

RESOLVED –

(1) T H A T the Forward Work Programme be noted.

(2) T H A T the schedule of items for the next meeting, 12th December 2022, be endorsed.

Reason for decisions

(1&2) To ensure the Governance and Audit Committee is aware and informed of progress on the proposed Work Programme.